GOVERNMENT OF ANDHRA PRADESH
ABSTRACT

PR&RD Department- National Rurban Mission (NRuM) - Critical Gap Fund (CGF) – Guidelines – Issued.

PANCHAYAT RAJ AND RURAL DEVELOPMENT (PTS-II) DEPT

G.O.MS.No. 29 Dated: 31-05-2017
Read :

From the Commissioner, PR&RD Lr. No.7472/ CPR&RD/ NRuM-Ph.I/H1/2016, dt. 13-04-2017.

ORDER:

The Government of India has launched National Rurban Mission (NRuM), aimed at developing rural areas by provisioning of economic, social and physical infrastructure facilities. The vision of NRuM is to "*Develop a cluster of villages that preserve and nurture the essence of rural community life with focus on equity and inclusiveness without compromising with the facilities perceived to be essentially urban in nature, thus creating a cluster of Rurban villages*".

2. The funding for Rurban Clusters will be through convergence of various existing schemes of the Central and State Governments. The NRuM will provide an additional funding support of upto **30 percent of the project cost or Rs.30.00 crores per cluster, whichever is lesser for plain areas and Rs.15.00 crores per cluster or 30 percent of the project cost in desert, hilly and tribal areas, as Critical Gap Funding (CGF)** to enable development of such Rurban Clusters with a financial sharing pattern of 60:40 (Centre: State) for all states except NE & Himalayan States.

3. In the reference read above, the Commissioner, Panchayat Raj and Rural Development has submitted the draft guidelines for effective implementation of the NRuM based on the directions of the GOI.

4. Government after careful examination of the matter hereby issue the following guidelines for implementation of Rurban Mission in the sanctioned clusters :

GUIDELINES:

- ➔ Detailed Project Reports (DPRs) for the individual components as identified in the approved Integrated Cluster Action Plan (ICAPs) of the selected Rurban Clusters shall be prepared. The DPRs are the 'good for execution' documents and shall contain the detailed design and costing of the project components in line with the norms and requirements of relevant scheme guidelines and obtain approvals for each DPR from the respective line departments in line with the norms and requirements of the relevant scheme guidelines.

- ➔ The filled in CGF application with the final project costing along with DPR approvals shall be submitted by the District Collector to the Commissioner, PR & RD for getting approval from the State Level Empowered Committee (SLEC)chaired by the Chief Secretary to Government , Govt. of Andhra Pradesh for onward submission to Ministry of Rural Development, Government of India.

➔ The DPRs must be within the broader ambit of Integrated Cluster Action Plan (ICAP) listed in the strategic vision statement already approved in the ICAPs of the Rurban clusters

➔ The DPR shall contain all details relating to Physical and Financial parameters so that the said activity can be immediately taken-up i.e., Good for execution. Therefore the District Collectors while preparing DPRs should ensure that each activity taken-up will have a detailed estimate prepared by the respective executing department based on which administrative sanction can be accorded by the District Collector and respective competent authority. The detailed estimate will also be the basis, as the case may be, for according technical sanction by the respective competent authority for such works

➔ Sanction of works shall be within the fund availability limits under each component.

➔ The works taken-up under this project shall be within the time limits agreed in ICAPs. Therefore the timelines adopted in the DPR under each component shall be within broader framework of ICAP.

I. <u>Scheme Convergence - Dovetailing of Funds:</u>

- Rurban Project is formulated largely on the principle of convergence and dovetailing of funds from different sources & schemes and projects. 70% of the total project cost of DPR or as a whole shall be dovetailed duly converging the available funding sources from the relevant schemes or programmes. The balance 30% or Rs. 30.00 Crores whichever is less in non-tribal clusters and 30% or Rs.15.00 Crores whichever is less in tribal clusters will be made available as Critical Gap Fund (CGF) for the approved Rurban Clusters in 3 installments as follows:

  - ✓ 1st installment - 30% of approved CGF
  - ✓ 2nd installment - 30% of approved CGF
  - ✓ 3rd installment - 40% of approved CGF

- The desirable components and outcomes under the Rurban Mission and the potential schemes from which the convergence funds can be pooled is enclosed as Annexure

- District Collectors shall ensure maximum fund convergence from the existing schemes and available funds at their disposal with special focus on utilization of 14th FC grants, SBM (Gramin) funds and GP Own sources , MGNREGS to be earmarked for the approved Rurban Clusters for a period of 3 years

- The District Collectors shall give priority in terms of allocation of the line department scheme funds to the Rurban Clusters wherever possible for gaining maximum funds through convergence for completion of the project components.

- The District Collectors of Anantapur, Chittoor and Visakhapatnam districts shall earmark atleast Rs. 5 - 10 Crores per annum of the Special Development Funds atleast for a period of 3 years to the approved Rurban Clusters of their districts.

II. Strategic Plan For Achieving Rs.70.00 Cr. or 70% of the Total Project Cost Resource Pool per each Rurban Cluster in a period of 3 years

Sl.No	Department/Scheme Convergence/CGF	Cumulative convergence pool for a period of 3 years
A	**MGNREGS**	Rs.45.00 Cr.*
B	**PR & Other Central and State Scheme funds & Own Sources(Line Department Funds)**	Rs.25.00 Cr.*
1	GP Funds	
2	14th FC Grants	
3	SBM (Gramin)	
4	Other CSS/SSS Funds : NRHM, SSA,NRDWP, DDUGKY etc	
C	**Convergence Resource pool (A+B)** {Internal Resource Pool}	**Rs. 70.00Cr. (Approx.)***
D	**Critical Gap Fund** (Rs. 30.00 Cr for Non-Tribal Clusters and Rs. 15.00 Cr. for Tribal Cluster) {Central assistance}	**Rs. 30.00 Cr.****
E	**TOTAL PROJECT FUNDING for Rurban Clusters**	**Rs. 100.00 Cr.*****

*Variable-Cluster specific - Rs. 70.00 Cr. Convergence tie up for non-Tribal & Rs. 50.00 Cr. for Tribal cluster

** Rs. 15.00 Cr. CGF for Tribal Clusters

*** Rs. 50.00 Cr. for Tribal Clusters

➔ State Development Funds (SDF) /Other Funds for Phase I Districts of Anantapur, Chittoor, Prakasam, SPSR Nellore and Visakhapatnam districts atleast Rs. 15.00 Cr. per cluster over a period of 3years shall be earmarked for the above Rurban clusters to fulfill the gaps under any of the above funding source

- There are 14 desirable components envisaged in the NRuM framework and 70:30 norm can be observed in all components and sub components as far as possible as detailed in the ICAP of the concerned Rurban Cluster. However there is no limit for tagging on the line department funds to meet the 100% requirement in certain works/activities wherever feasible so that the savings in CGF in one component can be utilized for some other component, over and above 30% depending on the need. However little it may be, a minimum amount must be earmarked as agreed in the ICAP for the components identified under CGF.

- The utility of CGF shall be limited to the capital nature / asset generation type of works including capital building. O&M related works shall not normally be taken up from the CGF unless approved in the ICAP.

III. Role of District Collector & District Mission Leader, Rurban:

➔ Rurban mission mainly banks upon the Convergence funds i.e., 70% of funds are to be pooled up from the existing line department schemes and the 30% of the CGF (Critical Gap Fund) under Rurban mission shall be released for the completion of the approved works of the concerned cluster.

➔ In order to secure maximum convergence and tag on 70% of the non-CGF portion of funding, the District Collector shall proactively

associate with the implementation of Rurban mission in the district and secure necessary funding support from all relevant programmes / Central or State Government schemes /NGOs/ Public contributions/ donations/CSR as the case may be.

- ➔ The District Collector must get maximum possible allocation to the Rurban Cluster on priority basis from the funds released to district under various schemes/ programmes.
- ➔ Secure convergence in programme implementation by reviewing with the executing agencies at least on fortnightly basis and visit the Rurban clusters atleast once in a month.

- ➔ CEO,ZPP shall be the District Co- ordinator, DPO additional District Co-ordinator, SE PR, SE RWS and PD DWMA shall be the District Technical Co-ordinators for the purpose of Rurban Mission in the district and assist the District Collector & District Mission Leader, Rurban in overall implementation of the Rurban Mission.

IV. Execution of Work:

i. Wherever the source of funding is from a single source, the norms relevant to the particular scheme/programme shall be followed

ii. Wherever the funding source is from more than one scheme / programme, the District Collector can identify the appropriate executing agency as per the existing norms and the payments from the respective departments will be made based on the M.Book recorded by executing authority as per the existing rules in vogue.

iii. In all cases wherever CGF is tagged on to the line department funds/scheme funds, the respective line department norms shall be followed and MPDO shall make the payment of CGF portion to the concerned duly following the payment procedures in vogue.

iv. Where CGF is utilized as sole source of funding, the mode of execution can be decided by the District Collector within the broad framework of Rurban guidelines.

v. No Diversion of funds at any cost is permissible and the funds shall be utilized for the purpose for which it has been released

V. Critical Gap Fund (CGF):

i. The Critical Gap Fund (CGF) under NRuM will be released to the MPDOs of the concerned Rurban Clusters as the scheme convergence and execution of works is cluster centric. The MPDO and Cluster Co-ordinator, Rurban is responsible for successful implementation of the Mission at the cluster level for which the CGF amounts are released to the MPDOs.

ii. MPDO & Cluster co-ordinator shall make payment after physical verification of work done and based on the M.Book recorded by the executing agency duly check measured by the competitive authority, duly following the payment procedures in vogue

- ✓ Payment shall be made in the name of appropriate executing agency only. No self cheques are permitted to be drawn
- ✓ Joint Signature of concerned Rurban Cluster MPDO & EO(PR&RD) for payment for the works with estimated cost up to Rs.10.00 Lakhs
- ✓ Joint Signature of concerned Rurban cluster MPDO & CEO, ZPP of the concerned district for payment for the works with the estimated cost of above Rs.10.00 Lakhs

iii. The above guidelines shall be followed while taking up the works without giving any scope for deviation or

mismanagement or diversion of funds and ensure completion of works in convergence of the line departments for the purpose of receiving further installments of CGF from the Central Government.

VI. Administrative funds:

At state level, 2% of the CGF will be pooled up at SPMU, NRuM O/o CPR&RD, Govt. of Andhra Pradesh towards meeting administrative expenditure under NRuM and portion of it will be released to the clusters depending on the cluster requirement and fund availability.

VII.Reporting & Record Maintenance:

- Online MIS for real time monitoring shall be put in place. Meanwhile the progress can be reviewed in the format enclosed.
- The list of works /activities taken up under this programme shall be maintained in the form of component wise works registers.
- Photographs of all assets at different stages i.e., commencement, Work-in-progress and completion shall be taken and all relevant records stipulated for such works shall be maintained for each of the activity or work taken up under CGF. submit physical and financial progress report by 30th of every month till the completion of the project
- Field visits will be conducted before the release of the 2nd & 3rd installment of CGF
- The Cash book and other registers/records are to be maintained properly and should be produced at the time of scrutiny to the concerned CEO, ZPP or any other officer authorized to do so.
- UCs shall be submitted on quarterly basis

5. Additional guidelines if any will be issued as per requirements during the implementation of the scheme as per the recommendations of the Empowered Committee & SLEC and informed from time to time.

6. The Commissioner, Panchayat Raj and Rural Development shall take necessary action in the matter.

7. This order is available on the internet and can be accessed at the address http://www goir.ap.gov.in.

(BY ORDER AND IN THE NAME OF THE GOVERNOR OF ANDHRA PRADESH)

ANIL CHANDRA PUNETHA
PRINCIPAL SECRETARY TO GOVERNMENT (PR & RWS) (I/c)

To
The Commissioner, Panchayat Raj and Rural Development, A.P. Vijayawada.
All District Collectors in the State.
The Engineer- in -Chief, Panchayat Raj, Vijayawada.
The Engineer-in-Chief, Rural Water Supply, Gollapudi, Vijayawada.
All Chief Executive Officers, ZPP in the State.
All District Panchayat Officers in the State.
Copy to:
PS to M (PR&RD)
PS to Spl.CS, RD (i/c),
PS to Prl.Secy (PR & RWS) (i/c).
SC/SF

//FORWARDED BY ORDER//

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Annexure contd...

ANNEXURE

Sl. No.	Desirable components	Desirable Outcome	Potential Scheme for convergence
			Central/State Schemes
1	24x7 Piped Water Supply	70 litres per capita per day (lpcd) of safe drinking water for every households throughout the year	1. 14th Finance 2. G.P General Fund 3. M.P.P General Fund 4. NRDWP 5. MP/MLA Grants 6. SC/ST sub Plan Funds 7. Contributions
2	Sanitation	100% HH with Individual Household Latrines	1. MGNREGS 2. Swachh Bharat Mission- Gramin
	Solid and Liquid Waste Management	Solid waste collection + Secondary transport for all HHs wastes and liquid waste management	1. MGNREGS 2. Swachh Bharat Mission- Gramin 3. G.P Funds 4. 14th Finance
	Solid Waste Treatment / Vermi compost Pit	1 vermi-composting plant per 2500 persons/ liquid waste unit (as per SBM-G guideline)	1. MGNREGS 2. Swachh Bharat Mission- Gramin 3. G.P Funds 4. Agriculture dept. funds
	Village Streets with Drains	All village to be covered with Drains	1. MGNREGS 2. 14th Finance 3. G.P Funds 4. MP/MLA Grants 5. SC/ST sub Plan Funds 6. Contributions
3	Street Lights	All village to be covered with Street Lights	1. G.P Funds 2. MP Lads 3. NREDCAP (New & Energy Development Corporation) 4. APTRANSCO 5. Contributions
4	Greenery		
	i)Institutional Plantations. ii)Avenue plantations iii)Home stead plantations iv)Bund plantations		1. MGNREGS 2. NABARD 3. IWDP 4. Horticulture Mission 5. Neeru Chettu 6. Contributions
5	Water Harvesting Structures i)Desilting of Tanks ii)Farm Ponds		1. MGNREGS 2. NABARD 3. IWDP
6	Infrastructure		
	i)G P Building	Every Office in the Gram Panchayat should have have its own building with all the required amenities of Drinking water, toilet, Electricity	1. MGNREGS 2. GP/MPP/ZPP Funds 3. MP.MLA Grants 4. Line Dept. Funds (W & CW Department) SSA, RMSA etc 5. Contributions
	ii)Anganwadi centers		
	iii)Health Sub center		
	iv)School Building		
7	Inter village roads connectivity	Ensure connectivity between all villages	1. Pradhan Mantri Gram Sadak Yojana (PMGSY) 2. PR (Eng) Dept.funds 3. R& B dept. funds 4. MGNREGS 5. MP Lads 6. MLA Grant

Sl. No.	Desirable components	Desirable Outcome	Potential Scheme for convergence
			Central/State Schemes
8	Skill Development training Linked to Economic Activities	At-least 70 percent household with one beneficiaries in each households.	1. Deen Dayal Upadhyaya Gramin Kaushalya Yojana 2. Employment Guarantee Marketing & Management (SERP) 3. Industries Dept. 4. Andhra Bank Institute for RD 5. Employment Training dept. 6. LIFE Project 7. BOC 8. VTP
9	Women & Child Welfare and Health Care		1. National Rural Health Mission funds(NRHM) 2. MPP/ZPP/GP Funds 3. MP/MLA Grants 4. Contributions
	i)IMR/MMR	Reduction in IMR/MMR	
	ii)Institutional Deliveries	100%institution deliveries	
	iii)Fully equipped Mobile Health Unit	Mobile Unit as per NHM norms	
	iv)School Drop outs, Up gradation of primary, secondary and higher secondary schools	Ensuring primary and secondary school within a reasonable distance from all households along with facilities of Drinking water provisions, Toilet blocks (separate for boys and girls) and adequate class rooms.	1. Sarva Shiksha Abhiyan (SSA) 2. Rashtriya Madhyamik Shiksha Abhiyan (RMSA) 3. ZP Funds 4. MPP Funds 5. Contributions
10	Citizen Services		
i	LPG Gas Connections/Improved Chulhas	One LPG retail outlet per village or per 1800 households	1. Rajiv Gandhi Gramin LPG Vitaran (RGGLV) 2. Civil Services Department 3. Deepam Scheme
ii	Citizen Service Centres- for electronic delivery of citizen centric services/e-gram connectivity	One ICT enabled front end Common Service Centre (CSC) per 2 to 3 villages	1. Digital India Mission 2. IT, AP Fibre Grid Project 3. NIC 4. PR Funds
11	Digital Literacy (access to digital resources for all citizens)	At least one e-literate person in every household.	1. IT, AP Fibre Grid Project
12	Public transport	Public transport to nearest urban center from each block	1. APSRTC 2. Self Employment Schemes
13	(i) Agri-services and Processing	Support to the Agriculture and Allied Activity components as per RKVY	1. Rashtriya Krishi Vikas Yojna (RKVY)-Cold Storages, Milk Chilling Centres etc 2. Pradhan Mantri Krishi Sinchayi Yojana 3. MGNREGS 4. LIFE Project 5. Atma Project 6. Bank Linkages
	(ii) Agri services and farm productivity	Support to components under end to end irrigation supply chain as per PMKSY	
	(iii) Agri Services	Support to organic farming cluster identified under PKVY	

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